



9 5011 195 2873
Phuket
CASE CLV-32032P1
10-1-03

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231

Jennifer China
Type or print name

Jennifer China
Signature

March 24, 2003
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

ROBERT CAREY TUCKER

APPLICATION NO: 09/879,013

FILED: JUNE 12, 2001

FOR: PRINTING COLORED CONTACT LENSES

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
APR - 3 2003
TECHNOLOGY CENTER 2800

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §1.97(c).
A letter for payment of fee set forth in 37 C.F.R. §1.17(p) is enclosed.

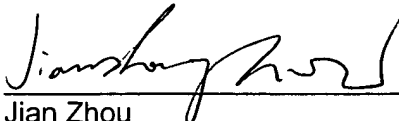
In accordance with 37 C.F.R. §1.56, applicant wishes to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Copies of these references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Novartis Pharmaceuticals Corporation
Patent and Trademark Dept.
One Health Plaza
East Hanover, NJ 07936-1080
(678) 415-4691



Jian Zhou
Agent for Applicant
Reg. No. 41,422

Date: March 24, 2003



CASE CLV-32032P1

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Assistant Commissioner for Patents
Washington, D.C. 20231

FEE LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Please charge Deposit Account No. 19-0134 in the name of Novartis Corporation in the amount of \$180 for payment of the fee pursuant to 37 CFR §1.17(p) for the submission of an Information Disclosure Statement under 37 CFR §1.97(c).

An additional copy of this paper is here enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 19-0134 in the name of Novartis Corporation.

Respectfully submitted,

Jian Zhou

Jian Zhou
Agent for Applicant
Reg. No. 41,422

Novartis Pharmaceuticals Corporation
Patent and Trademark Dept.
One Health Plaza
East Hanover, NJ 07936-1080
(678) 415-4691
Date: March 24, 2003

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INFORMATION DISCLOSURE CITATION

ATTY. DOCKET NO.

CL/V-32032P1

APPLICATION NO.

09/879,013

APPLICANT

ROBERT CAREY TUCKER

FILING DATE

JUNE 12, 2001

Group



Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM	EPO 0272 101 A2	12/16/87	European Patent Office	CO8J	3/20	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	AN	EPO 0272 101 A3	12/16/87	European Patent Office	CO8J	3/20	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	AO						<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>
	AQ						<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

Docket Note / Report Entry

Case No.: CLV-32032PL/CVA Application No.: 09/879,013

Present Docket Date: ____/____/____

☐ Extend To: ____/____/____

The Following Action Has Been Taken:

- | | | | |
|--|---------------------------------|---|---------------------------------|
| <input type="checkbox"/> Amendment / Response | | <input type="checkbox"/> Issue Fee | \$1280 |
| <input type="checkbox"/> Appeal Brief | \$320 | <input type="checkbox"/> Notice of Appeal | \$320 |
| <input type="checkbox"/> Assignment Recordation | \$40 | <input type="checkbox"/> Preliminary Amendment | |
| <input type="checkbox"/> Application Data Sheet | | <input checked="" type="checkbox"/> PTO – 1449 Form | |
| <input type="checkbox"/> Claim of Priority | | <input type="checkbox"/> Request for Certificate of Correction | |
| <input type="checkbox"/> Declaration – Missing Parts /
Missing Requirements | \$130 | <input type="checkbox"/> Request for Corrected Filing Receipt | |
| <input type="checkbox"/> Disclosure No. _____ | | <input type="checkbox"/> Response to Final Rejection | |
| <input type="checkbox"/> Division Check | | <input type="checkbox"/> Supplemental Information
Disclosure Statement | |
| <input type="checkbox"/> Drawings | | <input type="checkbox"/> Late Fee (\$180) | <input type="checkbox"/> No Fee |
| <input checked="" type="checkbox"/> Information Disclosure Statement | | | |
| <input type="checkbox"/> Late Fee (\$180) | <input type="checkbox"/> No Fee | | |

Extensions of Time

☐ 1st (\$110) ☐ 2nd (\$400) ☐ 3rd (\$920)

- | | | | | |
|--------------------------|---|--------------------------|---------------------|-------|
| <input type="checkbox"/> | Application (With Basle Fax – on U.S. Originated Case Only) | <input type="checkbox"/> | Provisional | \$160 |
| <input type="checkbox"/> | PCT | <input type="checkbox"/> | Petition to Convert | \$50 |

Fee \$_____ ☐ CIP ☐ Continuation ☐ Divisional ☐ Filed "A" (Old "R")

Other _____

ATTORNEY:

DATE:



Novartis
Corporate Intellectual Property
One Health Plaza, Bldg. 430
East Hanover, NJ 07936-1080
Tel (862) 778-_____
Fax (973) 781-8064
Internet: _____
@group.novartis.com

March 24, 2003

Novartis International AG
Corporate Intellectual Property
CH-4002 Basel
Switzerland

Your Ref.:

Our Ref.:

DUE DATE:

April 10, 2003

RESPONSE DATE:

March 24, 2003

FOR INFORMATION

ONLY

Re: **Case No.: CL/V-32032P1/CVA** **Application No.: 09/879,013**

Ladies and Gentlemen:

Enclosed are copies of the following:

Filing Phase

- ☐ Regular Application filed as a Priority Application
- ☐ Provisional Application filed as a Priority Application
- ☐ Petition to Convert Regular Application into a Provisional Application
- ☐ Regular Application Based On Provisional Application(s) and/or Foreign Application(s)
- ☐ CPA Transmittal Form
- ☐ Continuation of Application No.
- ☐ Division of Application No.
- ☐ Continuation-in-part of Application No.
- ☐ Entry of PCT Application Into National Stage
- ☐ Official Filing Receipt, dated
- ☐ Other Documents: Notice to File Missing Parts

Prosecution Phase

- ☐ Paper(s) received from the PTO:
- ☒ Paper(s) sent to the PTO: SUPPLEMENTAL IDS, 1449 & FEE LETTER
- ☐ Other Document(s):

Issuance

- ☐ Notice of Allowance)
- ☐ Issue Notification Form
- ☐ Issue Fee Transmittal Slip
- ☐ Patent Number
- ☐ Other Document(s):

Miscellaneous

- ☐ Notice of Abandonment (Dated:)
- ☐ Assignment - Original Ex-U.S.A. (copy of U.S.A.)
- ☐ Assignment Recordation Cover Sheet
- ☐ Other Document(s):

Very truly yours,

Jian Zhou

Sean Zhou
(Signature)

Encl.